



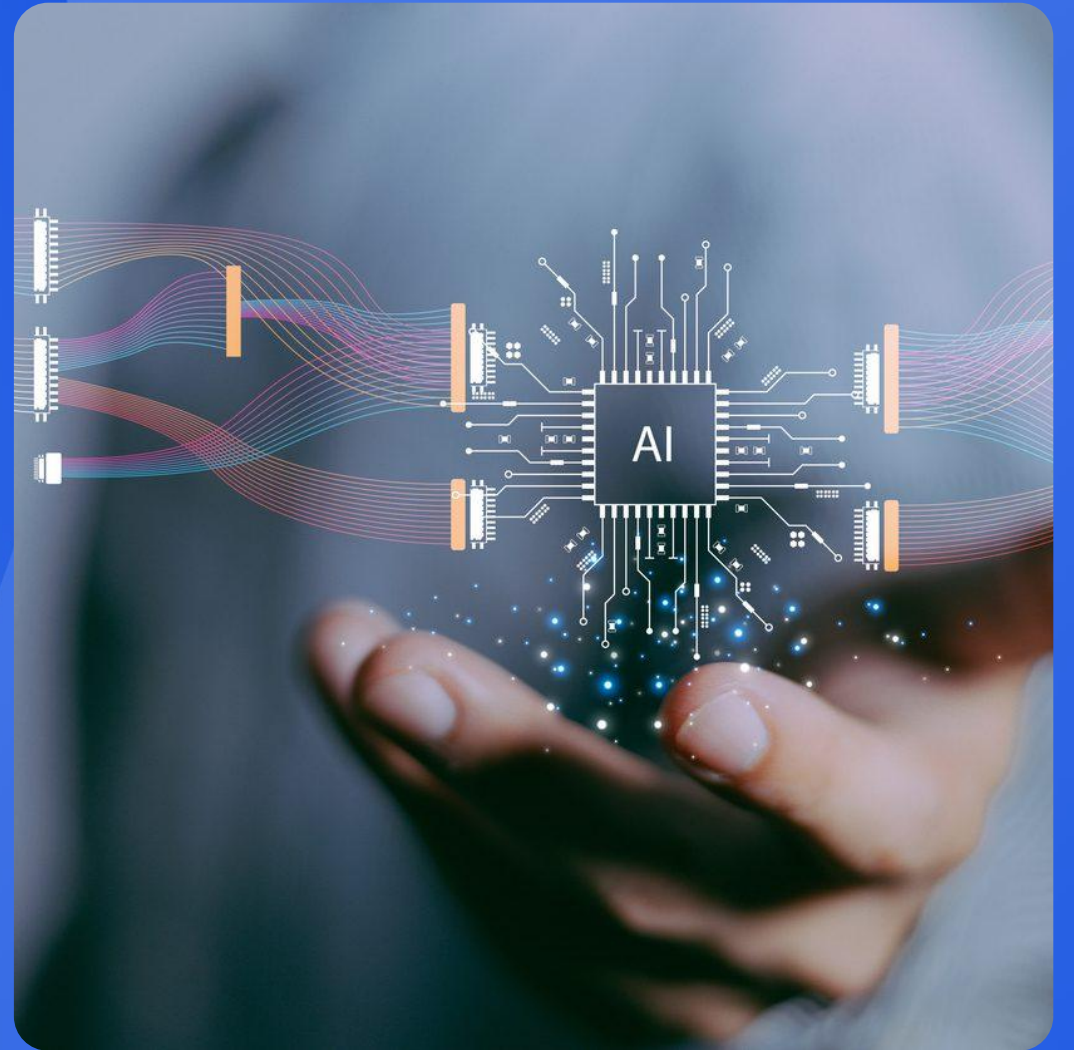
Compliance & Risks

Webinar

Harnessing *AI* for *Smarter Compliance:*

Revolutionizing Product **Compliance & Risk
Management**

25th September, 2024



→ | complianceandrisks.com



Q&A
Session

Slides &
Webinar
Recording



Request a
Demo

Webinar Platform Tips

Meet the Team



Matt Kuo
Chief Product
Officer



Eric Farr
Chief Technology
Officer



Siobhán Fairman
Head of
Engineering



Dan Kinsella
Senior Product
Manager



Vish Karasani
Product Marketing
Manager

Mission Statement

Ensure global companies have the tools & information to build safe, sustainable, products in a *world full of change.*

Trusted by the World's Leading Brands

SAMSUNG

Miele

 **MOTOROLA**

TOSHIBA



 **Abbott**

FUJITSU

BOSE

TESLA

PHILIPS

logitech

XEROX

Thermo
SCIENTIFIC


PUMA

GARMIN



100K+
Regulations

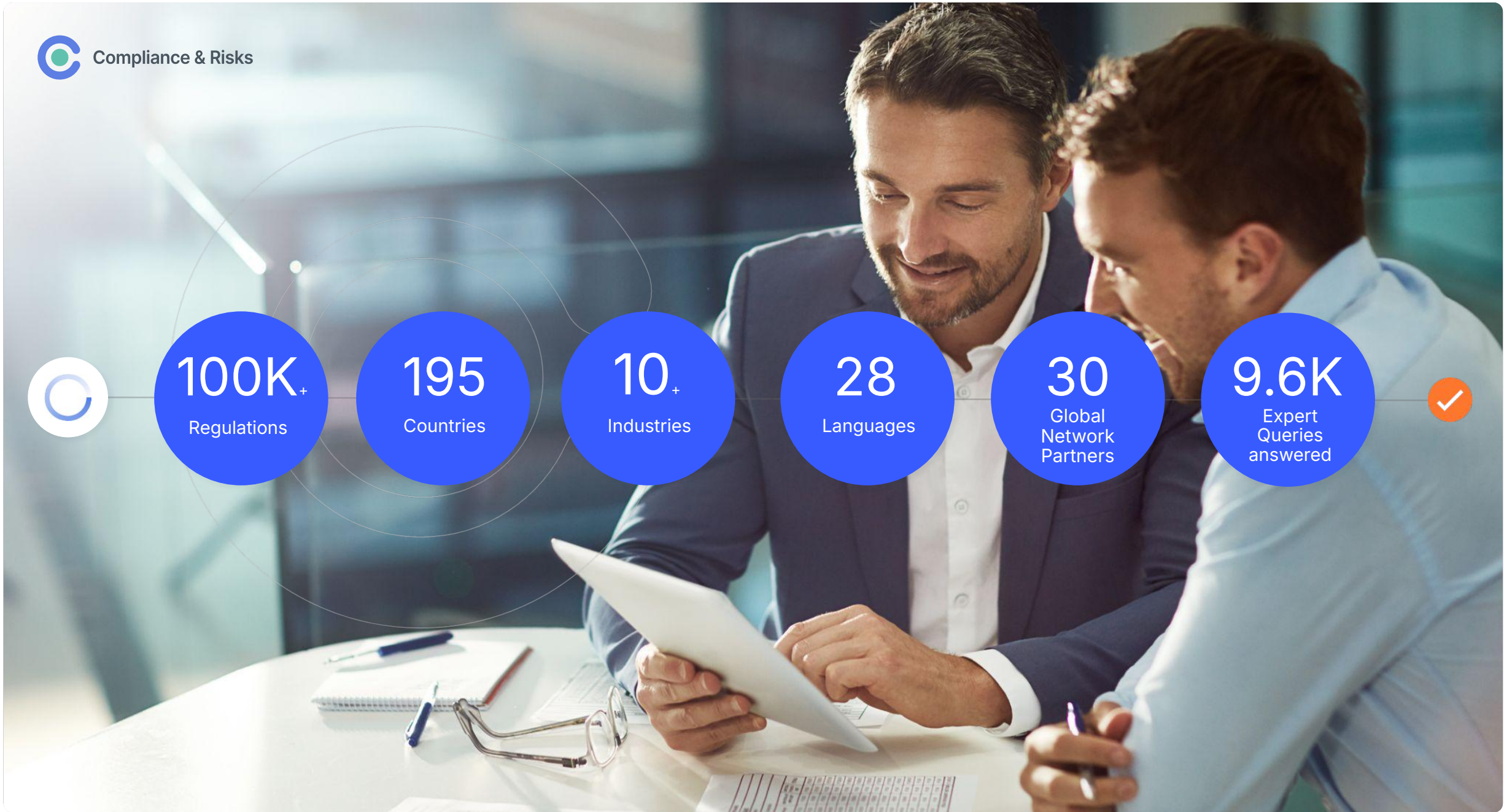
195
Countries

10+
Industries

28
Languages

30
Global Network Partners

9.6K
Expert Queries answered



WHAT WE DO

Solving For Product Compliance & ESG Compliance

Keep on top of regulatory changes and their impact worldwide. Early warning alerts, impact probability, productivity workflow tools and so much more.



The Promise of AI



Poll

Which AI tools have you used?

1. ChatGPT
2. Gemini
3. Claude
4. Perplexity
5. Other
6. None

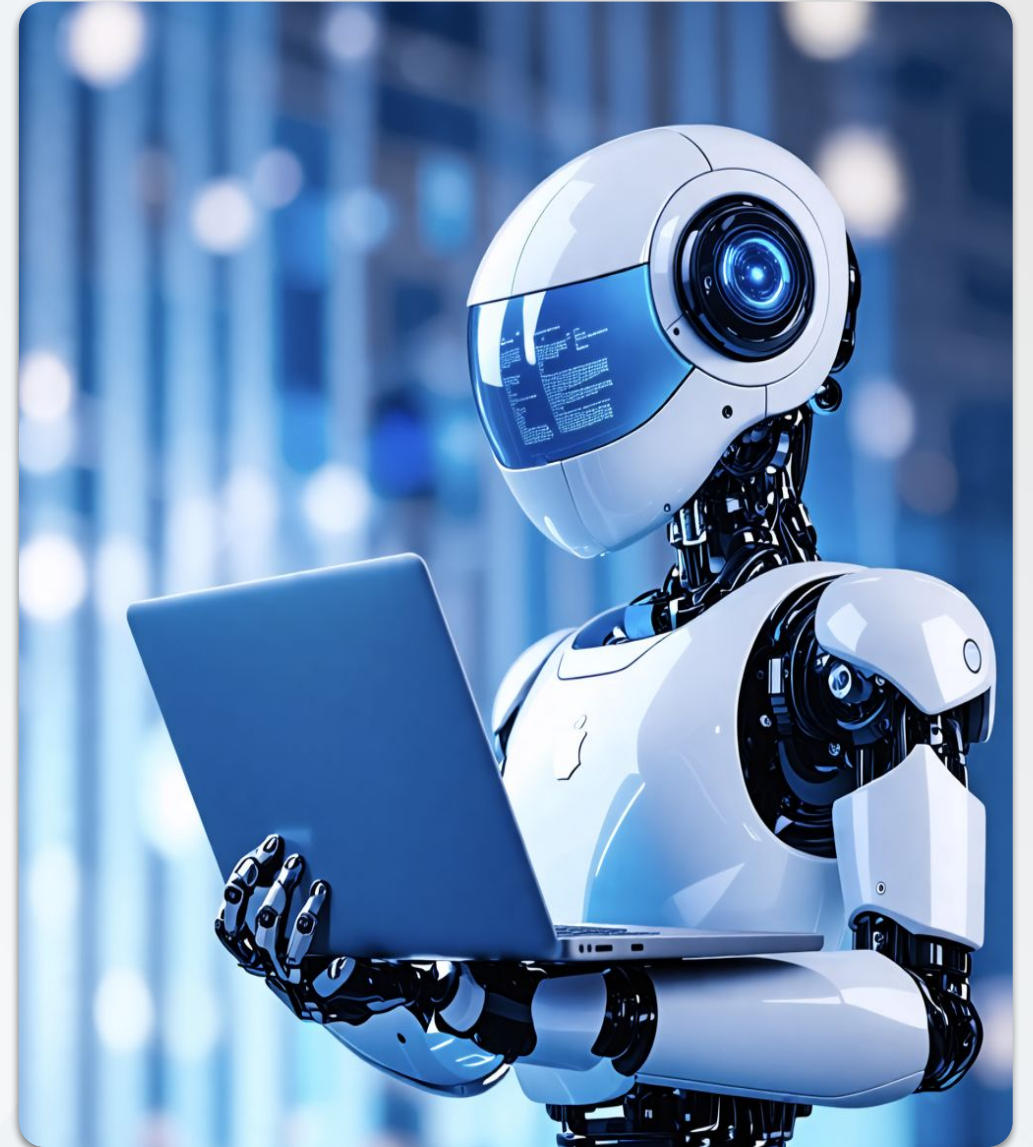
The Promise of AI

Short Term (within 5 years)

- Faster, smarter models
- More generative capabilities
- Increased explainability
- Closer human collaboration - Agentic AI
- Scientific breakthroughs in medicine, sustainability and other fields
- Responsible/ethical AI development
- Edge AI

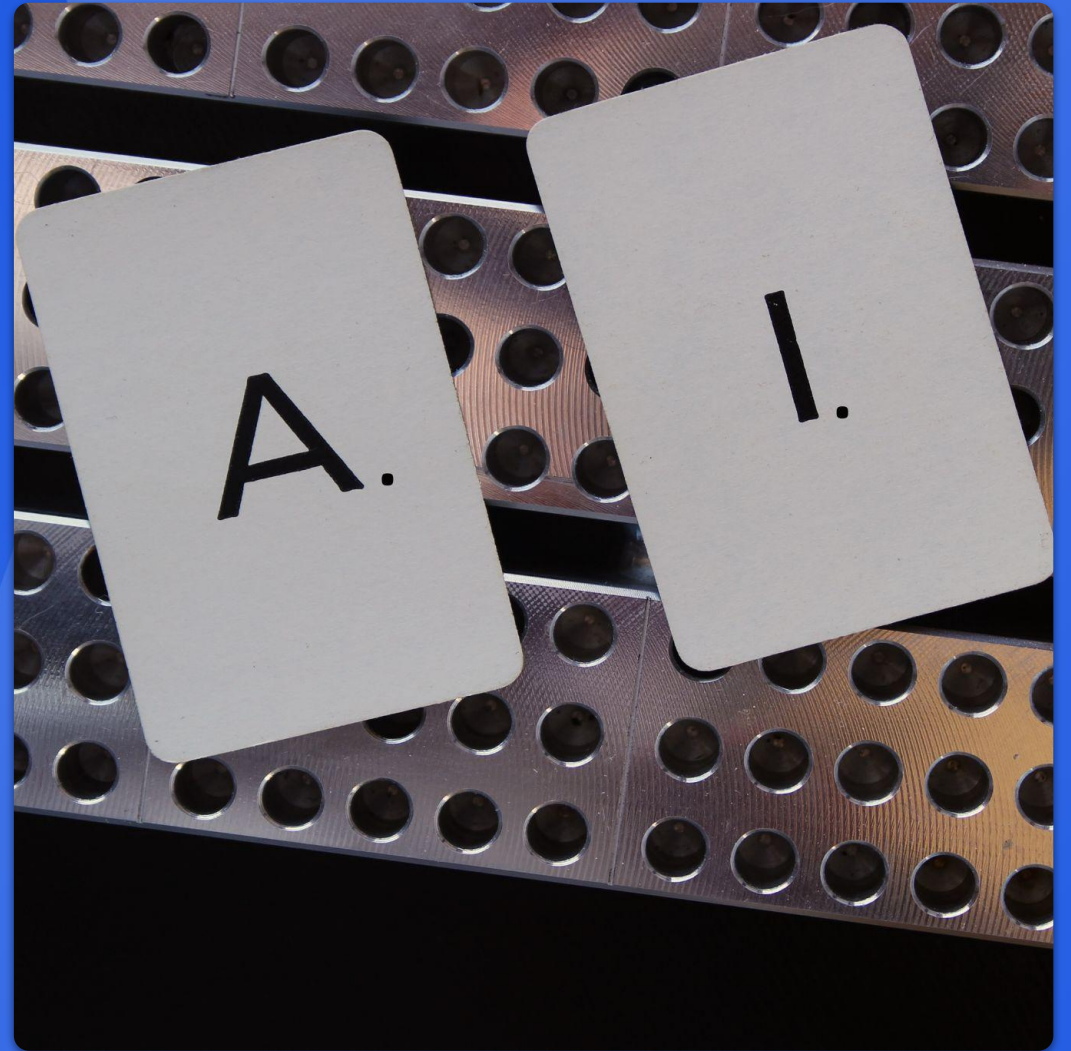
Longer Term (5+ years)

- AGI then ASI
- Autonomous decision-making





What AI is Good at *Today*



Poll

What is your sentiment about AI?

1. Excited: It has changed my life
2. Great: It helps me be more productive
3. Unsure: I'm not sure how to leverage it best
4. Skeptical: I can't trust it just yet

What AI Is Good At Today

01

Processing and Generating Text

- **Summarizing** documents and reports
- **Translating** languages in real-time
- **Generating content**, such as articles or code snippets

02

Automating Straightforward Tasks

- **Scheduling** appointments or reminders
- **Processing forms** or invoices
- **Responding to routine queries**, e.g. customer service

03

Handling Large Data Sets and Multiple Formats

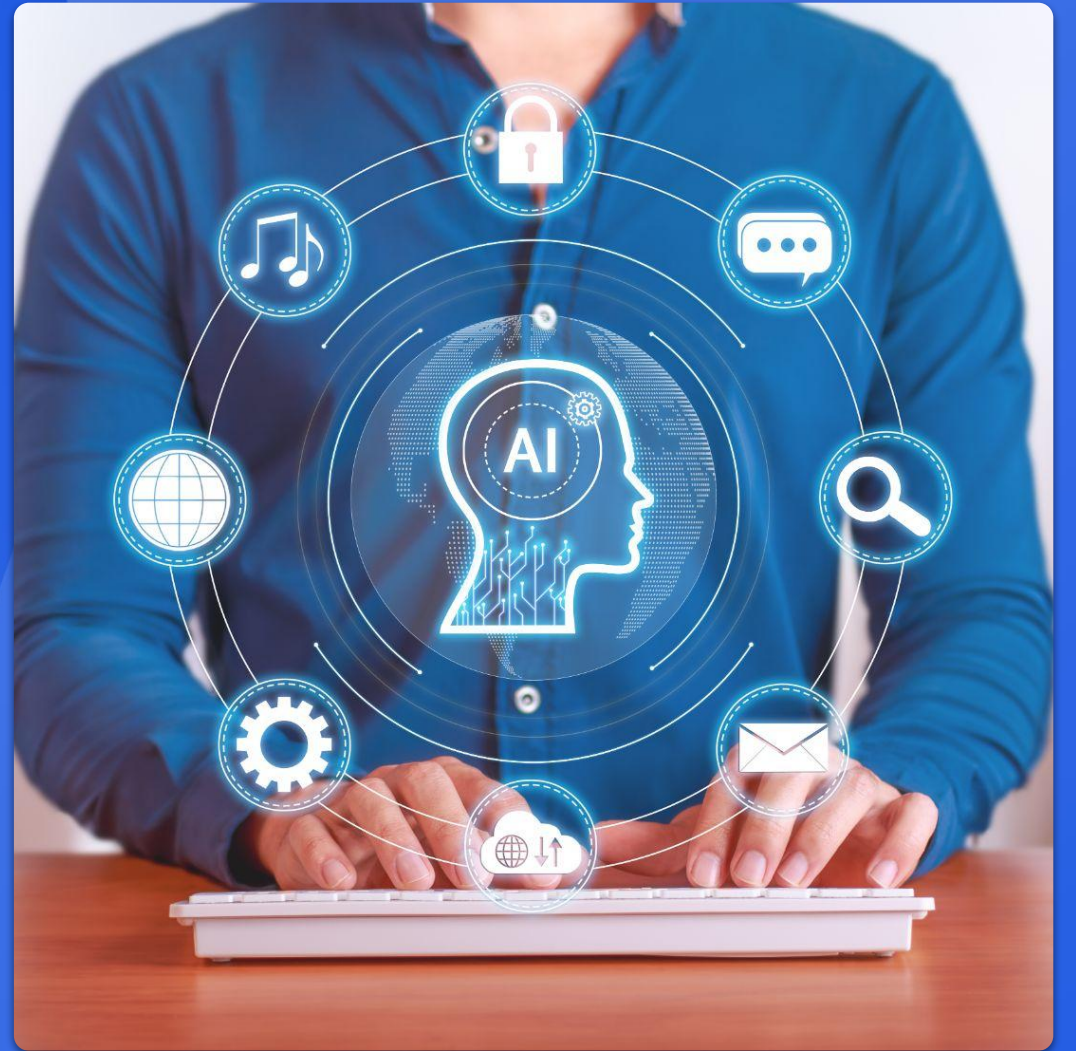
- **Aggregating data** from diverse sources (e.g., spreadsheets, images, text)
- **Analyzing large datasets** to find trends and patterns
- **Structuring unorganized data** into usable formats

04

Learning and Adapting from Feedback

- **Recommending personalized products** based on user behavior
- **Improving chatbots' responses** over time through user interactions
- **Predicting the outcome of events** based on historical data

Applying AI to Our World



Applying AI To Our World

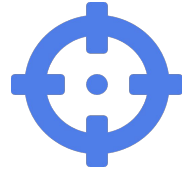
Real-Time Awareness



Continuously monitoring data and alerting

Identify new opportunities in real-time

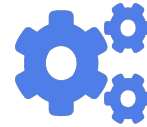
Insight-Driven Decision-Making



Turning data into actionable insights

Tailoring Recommendations based on industry, region and product type

Operational Efficiency



Automating time-consuming assessments

Accelerating compliance workflows
Analyze multiple regulatory environments simultaneously

Built for Scalability



Combining data from multiple sources

Internal and External systems in one place
Comprehensive views of the regulatory landscape



The Effort Behind AI: *It's Not Easy*



Poll

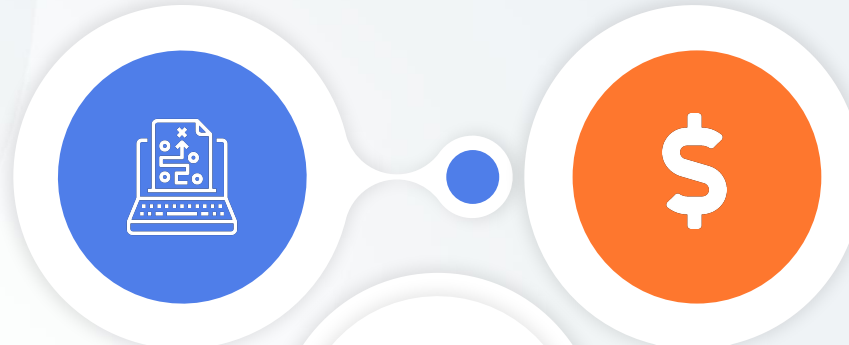
Does your company allow you to use AI?

1. My company encourages it
2. My company allows it
3. My company does not allow it

The Challenges of using AI

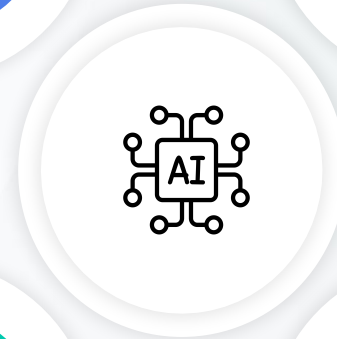
Productionisation is hard!

It's not plug and play
Virtually no standardisation
Data structure challenges



Cost

Money, time and skill sets
hard to find
Maintenance overhead



Data Protection and Reporting Considerations

Keeping proprietary data secure
Increasing regulatory involvement
& requirements



Model Risk

Hallucination
Bias
Degradation
Availability (of 3rd Party
models)



Compliance & Risks

Our Approach to Solving This Problem



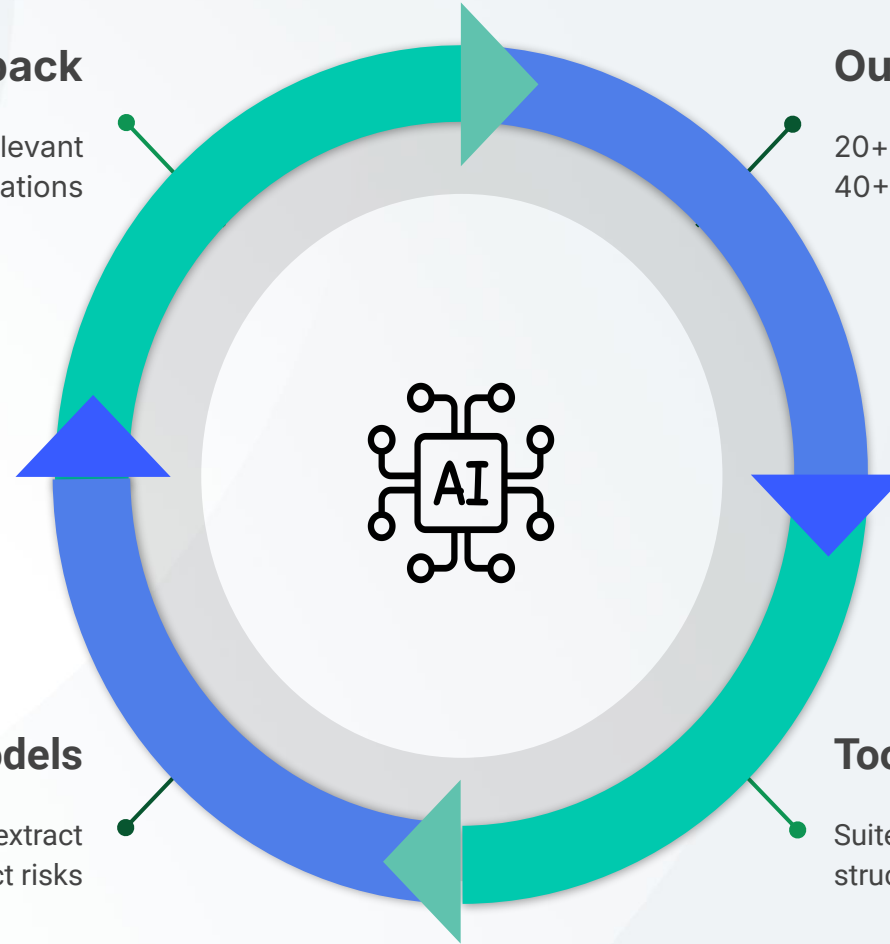
Our Approach

Iterating using Human Feedback

Refining AI Outputs to ensure accurate, relevant and aligned with latest regulations

Our Team

20+ across Data Science, ML Engineering
40+ SMEs, 195 Countries and 100K+ Sources



Ensemble of Models

Designed to process and analyze documents, extract insights, predict risks

Tooling and Data

Suite of in-house tooling and proprietary data structures



Compliance & Risks

The Future of AI in Compliance



Our AI Journey



AI Pilots Begin

Laying the groundwork for future AI-driven products



Team Grows and AI Translations launched (BETA)

Team grown to 20+ Specialists and AI-powered translations beta capability launched

2024

2025 +

Today



Internal Tooling Deployed

Launched AI-powered tools to support C&R SMEs refinement of data and validation of AI outputs



AI-Driven Product Capabilities

Expanding to fully operational AI-driven solutions, focusing on automating compliance workflows, enriching data, and delivering predictive insights to drive decision making



COMPLIANCE

RISK



REVENUE

Questions?





Thank You!



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